From social determinants to biomarkers: understanding causal pathways

Bianca De Stavola LSHTM Centre for Statistical Methodology

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- Social epidemiology is evolving and adapting to accommodate increasing access to biomarker data

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Recent developments from modern causal inference may be helpful

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## 1 Conceptual frameworks

2 Questions and causal effects

## 3 Estimation



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#### Biomarkers can be viewed as being influenced by exposures that are:

- distal (global environment)
- intermediate (socio-economic)
- proximal (behavioural)



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#### Biomarkers change over the life course:



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Their trajectories may be affected by exposures over the life course:

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#### Biomarkers change over the life course:



Their trajectories may be affected by exposures over the life course:

[1] cumulatively:  $\rightarrow$  Cumulative exposure model

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#### Biomarkers change over the life course:



Their trajectories may be affected by exposures over the life course: [2] only during specific periods:  $\rightarrow$  *Critical period model* 

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#### Biomarkers change over the life course:



Their trajectories may be affected by exposures over the life course: [3] especially during specific periods:  $\rightarrow$  *Sensitive period model* 

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#### Biomarkers change over the life course:



Their trajectories may be affected by exposures over the life course:

[4] synergistically:  $\rightarrow$  *Pathways model* 

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The conceptual model could be expanded to include the dimension of time:



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# 1 Conceptual frameworks

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Will illustrate how certain causal questions can be formulated using this framework

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- Similarly for the potential outcome  $Y(s_2)$
- $Y(s_1, s_2)$ , the value that Y would take were we to intervene on both  $S_1$  and  $S_2$  and set them to  $s_1$  and  $s_2$

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# Conceptual frameworks Questions and causal effects Estimation Summary Total causal effect (TCE)



 The total causal effect of S<sub>1</sub> on Y can be expressed as a comparison of aspects of the mean (*i.e.* expectation(E)) of Y(s<sub>1</sub>) for different values of s<sub>1</sub>,

 $\mathsf{TCE}_1 = E\{Y(s_1 = 1)\} - E\{Y(s_1 = 0)\}\$ 

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- TCE<sub>1</sub> would be of interest to assess the impact of (hypothetically) intervening on SEP<sub>1</sub> and changing its value



# Conceptual frameworks Questions and causal effects Estimation Summary Total causal effect (TCE) (cont'd)



- The total causal effect of  $S_2$  on Y can be expressed as a comparison of the mean of  $Y(s_2)$  for different values of  $s_2$ ,

$$\mathsf{TCE}_2 = E\{Y(s_2 = 1)\} - E\{Y(s_2 = 0)\}\$$

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 The CDE of S<sub>1</sub> can be defined in terms of the mean of Y(s<sub>1</sub>, s<sub>2</sub>) for different values of s<sub>1</sub> but for a fixed value of s<sub>2</sub>:

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 $CDE_1(s_2) = E\{Y(s_1 = 1, s_2)\} - E\{Y\{(s_1 = 0, s_2)\}\}$ 

• It represents the direct effect of S<sub>1</sub> that is not mediated by S<sub>2</sub>







 If CDE<sub>1</sub>(s<sub>2</sub>) varies with s<sub>2</sub> there would be support for the pathways model

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## Comparing effects





- If CDE<sub>1</sub>(*s*<sub>2</sub>) varies with *s*<sub>2</sub> there would be support for the pathways model
- If CDE<sub>1</sub> does not vary, *i.e.* CDE<sub>1</sub>(s<sub>2</sub>) = CDE<sub>1</sub> and CDE<sub>1</sub> ~ TCE<sub>2</sub> there would be support for the cumulative exposure model

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- If  $\text{CDE}_1 \ll \text{TCE}_2$  (or  $\text{CDE}_1 \gg \text{TCE}_2$ ) there would be support for the sensitive period model

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## Comparing effects





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- If  $\text{CDE}_1 \ll \text{TCE}_2$  (or  $\text{CDE}_1 \gg \text{TCE}_2$ ) there would be support for the sensitive period model
- If CDE<sub>1</sub>=0 or TCE<sub>2</sub>=0 there would be support for the critical period model

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• Natural direct and indirect effects (NDE) are relevant to address this



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- Together they allow the decomposition of the total effect of SEP<sub>1</sub> into the portion that is mediated by SEP<sub>2</sub> and the portion that acts via other pathways.

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- Natural direct and indirect effects (NDE) are relevant to address this
- Together they allow the decomposition of the total effect of SEP<sub>1</sub> into the portion that is mediated by SEP<sub>2</sub> and the portion that acts via other pathways.
  - Only in very simple settings this decomposition can be achieved by comparing  $TCE_1$  and  $TCE_2$

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- This is not an exhaustive list of possible causal questions, nor of possible causal parameters
- Given here to illustrate the advantages of adopting the potential outcome framework in order to clarify the question(s) one wishes to address
- Importantly, the chosen question guides the choice of causal parameters to estimate from the data

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# 1 Conceptual frameworks

2 Questions and causal effects





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- Given the question, consider assumptions required to estimate the chosen parameter(s) from the data
- Causal DAGs (directed acyclic diagrams) can aid this step
- Unlike the earlier conceptual diagram, causal DAGs are mathematical objects that must obey certain rules
- In particular that any common causes of variables in the diagram must be included, even if unmeasured

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# Conceptual frameworks Questions and causal effects Estimation Summary Conceptual and causal diagrams





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# Conceptual frameworks Questions and causal effects Estimation Summary Conceptual and causal diagrams





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# Conceptual frameworks Questions and causal effects Estimation Summary Conceptual and causal diagrams





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The DAG can be interrogated to find out whether the chosen parameter can be estimated from the available data and also whether traditional regression methods would suffice.

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- The availability of richer data to investigate health inequalities raises daunting methodological challenges
- Concepts (and methods) from the field of causal inference can be useful to formalise the questions in a clear and unambiguous manner
- They are also extremely useful for identifying the appropriate estimation methods

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### De Stavola BL and Daniel R "Commentary: Incorporating concepts and methods from causal inference into life course epidemiology" Int J Epi, 2016 (in press)

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