

The statistics of cancer survival -What are the true survival benefits associated with new cancer treatments?

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- What is treatment crossover and why does it occur?
- What problems are created by treatment crossover?
- How important is treatment crossover?
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Health Economics

- Appraise new treatments to see if they are "cost-effective"
- → Should the NHS buy them?
- NHS has a fixed budget has to try to maximise health benefits by buying the most cost-effective treatments
- → Need a generic outcome measure the QALY
- →And a cost-effectiveness threshold
- Need to estimate costs and QALYs accurately so that consistent decisions can be made
- \rightarrow For cancer treatments, survival is likely to be key



Treatment crossover (1)

- In RCTs often patients are allowed to switch from the control treatment to the new intervention after a certain timepoint (eg disease progression)
- → PFS (progression free survival) estimates are ok
- → But OS (overall survival) estimates will be confounded
- What are the implications of this?
 - For clinical analysis
 - For economic analysis
 - → There are different drivers for these two analyses



Treatment crossover (2)

Clinical analysis

- Drug regulatory bodies such as FDA and EMA accept that PFS is sufficient for licensing
- There are reduced incentives for companies to collect longer term survival data
- There are reduced incentives to maintain randomisation post-progression
- \rightarrow Practical reason why treatment crossover occurs
- → Combined with ethical reasons, strong incentives to allow crossover

Economic analysis

- For interventions that impact upon survival OS is a key input in the economic model
- Need accurate estimates of the treatment effect on PFS and OS



Treatment crossover (3)

- Treatment crossover is <u>not</u> just an issue for economic evaluation
- But it can appear that way because it becomes more of an issue at the "fourth hurdle"

Implications:

 Cost effectiveness results will be inaccurate → an ITT analysis is likely to underestimate the treatment benefit

Inconsistent and inappropriate treatment recommendations could be made



Treatment crossover (4)



Crossover is likely to result in an underestimate of the treatment effect



What is usually done to adjust?

- No clear consensus
- Numerous 'naive' approaches have been taken in
 - Take no action at all
 - Exclude or censor all patients who crossover
- Occasionally more complex statistical methods have been used, eg:

Very prone to

selection bias

crossover

isn't random

- Rank Preserving Structural Failure Time Models (RPSFTM)
- Inverse Probability of Censoring Weights (IPCW)
- And others are available from the literature, eg:
 - Structural Nested Models (SNM)



What are the consequences?

NICE TA 215, Pazopanib for RCC [51% of control switched]

> ITT: OS HR (vs IFN) = 1.26 → ICER = Dominated
 > Censor patients: HR = 0.80 → ICER = £71,648
 > Exclude patients: HR = 0.48 → ICER = £26,293
 > IPCW: HR = 0.80 → ICER = £72,274
 > RPSFTM: HR = 0.63 → ICER = £38,925



Potential solutions (1)

RPSFTM

 Developed for use on RCT datasets, makes use of randomisation to estimate counterfactual survival times

Key assumption: common treatment effect

IPCW

 Developed for use on observational datasets, censors xo patients, weights remaining patients, runs weighted Cox model

Key assumptions: "no unmeasured confounders"; must model OS and crossover using covariate data

SNM

Observational version of RPSFTM

Key assumptions: "no unmeasured confounders"; must model OS and crossover



Potential solutions (2)

Another option...

- Consider the treatment crossover typically seen in oncology trials...
- Data on PFS is required for licensing, thus only allow crossover post-progression
- <u>If</u> crossover only happens after progression, and happens soon after progression, we may consider a simple "two-stage" approach:
 - Use disease progression as a secondary baseline for control group patients and consider control group data after this time-point as an observational dataset
 - Apply an accelerated failure time model to this dataset including covariates for crossover and other prognostic covariates measured at the secondary baseline
 - Use the AF derived for crossover to "shrink" survival times of switchers
 - ➔ Counterfactual dataset

Key assumptions: "no unmeasured confounders" at secondary baseline time-point; crossover only after progression, and soon after progression



Simulation study (1)

- None of these methods are perfect
- But we need to know which are likely to produce least bias in different scenarios

➔ Simulation study

- Simulate survival data for two treatment groups, applying crossover that is linked to patient characteristics/prognosis
- In some scenarios simulate a treatment effect that changes over time
- In some scenarios simulate a treatment effect that remains constant over time
- Test different %s of crossover, and different treatment effect sizes

→ How does the <u>bias and coverage</u> associated with each method compare?



Simulation study (2)

Methods assessed

Naive methods

- ITT
- Exclude crossover patients (PPexc)
- Censor crossover patients (PPcens)
- Treatment as a time-dependent covariate (TDCM)

Complex methods

- RPSFTM
- IPE algorithm
- IPCW
- SNM
- Two-stage Weibull



Results: common effect ¹⁴

- RPSFTM / IPE worked very well
- IPCW and SNM performed ok when crossover % was lower



- IPCW and SNM performed poorly when crossover % was very high
- Naive methods performed poorly (generally led to higher bias than ITT)
- Two-stage Weibull performed well



Results: effect 15% ↓ in xo ¹⁵ patients

- RPSFTM / IPE produced higher bias than previous scenarios
- IPCW and SNM performed similarly to RPSFTM / IPE providing crossover < 90%</p>



- IPCW and SNM performed poorly when crossover % was very high
- Bias not always lower than that associated with the ITT analysis
- Two-stage Weibull performed well



Results: effect 25% ↓ in xo¹⁶ patients

- RPSFTM / IPE produce substantial bias
- IPCW and SNM produce less bias than RPSFTM / IPE providing crossover < 90%



- Few 'good' options when crossover % is very high
- Often ITT analysis likely to result in least bias (esp. when trt effect low)
- But two-stage Weibull still does quite well























How can we select the most appropriate method?

- Even the more complex methods have important limitations and will often result in bias in realistic scenarios
- "Naive" methods should not be used
- 1. What was the crossover mechanism? Who, when, why and how many?
- 2. What is the nature of the treatment effect?
- 3. What / how much data are available? Important time-dependent Gva

What about patient preferences?

- Each of these questions helps determine whether ITT, RPSFTM, IPE, IPCW or two-stage methods are likely to be suitable
- How plausible are their assumptions in an oncology RCT context?



Limitations

- Other scenarios would be interesting
 - Lower crossover proportions
 - Different sample sizes
 - Different treatment effect decrements
- Data generating model
 - We used a joint longitudinal and survival model starting off with a Weibull distribution
 - Does this influence the results?
- New methods are required!



Conclusions (1)

- Treatment crossover is an important issue that has come to the fore in HE arena
- Current methods for dealing with treatment crossover are imperfect and have been used uncertainly in HTA
- Our study offers evidence on bias in different scenarios (subject to limitations)



Conclusions (2)

- RPSFTM / IPE produce low bias when treatment effect is common
 → But are very sensitive to this
- IPCW / SNM are not affected by changes in treatment effect between groups, but in (relatively) small trial datasets observational methods are volatile
 → Especially when crossover % is very high (leaving low *n* in control group)
- Simple two-stage methods are worthy of consideration
- Very important to assess trial data, crossover mechanism, treatment effect to determine which method likely to be most appropriate
- There is a definite requirement for clinical opinion, to determine justifiable methods

Don't just pick one!!